

Public Inspection Regarding Specially Permitted Businesses for Qualified Institutional Investors, etc.

Date: 01/01/2020

Applicant: Address: (Principal Business Office)  
4700 Wilshire Boulevard, Los Angeles, CA 90010, United States

(Registered address)  
c/o Paracorp Incorporated, 2140 South DuPont Highway, Camden, Delaware 19934, United States

Phone: +1-323-860-4900

Name of Business: CIM Fund IX GP, LLC  
Name of Applicant\*: Jordan Dembo - Vice President

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\*Name and Title of Representative in the case that applicant legal status is corporation, etc. (including partnership, trust, or other organization)

(Note)

1. If an organization other than a corporation operates a Specially Permitted Business for Qualified Institutional Investors, etc. (SPBQII), the name of the representative or the administrator (limited to a corporation or individual; names of all if more than one individual serves in these roles) must be entered as the notifier along with the name of the relevant group and its applicable statutory provisions.
2. A person who has changed his/her surname due to marriage can enter his/her surname before marriage next to the current full name in parentheses in the "Name" section.

1. Status of the person who has submitted an application regarding Specially Permitted Businesses for Qualified Institutional Investors, etc. (SPBQII)

(As of 06/03/2017)

Representative		Business category		Principal business office			URL of website	Type of other business to be conducted by person to notify	Amount of capital or total contribution
Name of Applicant	Title	Private placement	Investment management	Name	Address	Phone			
Jordan Dembo	Vice President	○	○	Principal business office	4700 Wilshire Boulevard, Los Angeles, CA 90010, United States	+1-323-860-4900	<a href="http://www.cimgroup.com/">http://www.cimgroup.com/</a>	None	\$0

(Note)

1. In the "Business category" section: If the person to notify conducts a business related to acts specified in FIEA Article 63(1)(i) , put a circle ("○") in the "Private placement" column; if the person to notify conducts a business related to acts specified in (ii) of the same Act paragraph, put a circle ("○") in the "Investment management" column.
2. The "Representative" and "Amount of capital or total contribution" columns must be entered if the applicant is a juridical person.
3. A person who has changed his/her surname due to marriage can enter his/her surname before marriage next to the current full name in parentheses.



3. Officers and employees specified under a Cabinet Order

(As of 01/01/2021)

Personal or company name:	Organizational position	Type of the employee specified by a Cabinet Order
Avraham Shemesh	President and Treasurer	
Richard S. Ressler	Vice President	
Shaul Kuba	Vice President	
Nicholas V. Morosoff	Vice President	
Terry Wachsner	Vice President	
Jordan Dembo	Vice President	
David Thompson	Vice President and Chief Financial Officer	
Steven Puodiziunas	Vice President	
Bethany Chang	Vice President	

(Note)

1. In the case of a foreign corporation, it is not necessary to enter the name of the representative in Japan (i.e., the person specified under FIEA Article 63(7)(i)(d)).
2. In the "Type of the employee specified by a Cabinet Order" column: If the person is an "employee who oversees operations regarding provision of guidance to have employees comply with laws, etc., or other individuals with similar responsibility" or an "employee who oversees the division that performs investment management or other individuals with similar responsibility," enter the type in this column.
3. A person who has changed his/her surname due to marriage can enter his/her surname before marriage next to the current full name in parentheses in the "Personal or company name" section.

4. Status of the sales branch or business office where SPBQII is operated

(As of 01/01/2020)

Name	Location	Phone
Principal business office	4700 Wilshire Boulevard, Los Angeles, CA 90010, United States	+1-323-860-4900
Registered office	c/o Paracorp Incorporated, 2140 South DuPont Highway, Camden, Delaware	+1-888-372-7273

(Note)

Please enter the details of this section by the individual business offices that perform SPBQII.